

Ring objects

$(\mathbb{R}, 0, 1, +, \cdot, -)$ can be seen as a “ring object” in **Set**, that is, as five functions from powers of \mathbb{R} to \mathbb{R} , one for each operation:

$$\begin{array}{c}
 1 \begin{array}{c} \xrightarrow{0} \\ \xrightarrow{1} \end{array} \mathbb{R} \begin{array}{c} \xleftarrow{+} \\ \xleftarrow{\cdot} \end{array} \mathbb{R}^2 \\
 \\
 * \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} 0 \\
 * \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} 1 \\
 \quad \begin{array}{c} a \xrightarrow{+} b \xleftarrow{\quad} a, b \\ ab \xleftarrow{\quad} a, b \end{array}
 \end{array}$$

(we will never draw the subtraction $- : \mathbb{R} \rightarrow \mathbb{R}$).

These arrows must obey some equations — for example, $(a + b)c = ac + bc$, that becomes:

$$\begin{array}{c}
 \frac{\frac{a, b, c}{a} \quad \frac{a, b, c}{b} \quad \frac{a, b, c}{a, b, c}}{\frac{a + b}{c}} \\
 \frac{\quad}{(a + b)c}
 \end{array}
 =
 \begin{array}{c}
 \frac{\frac{a, b, c}{a} \quad \frac{a, b, c}{c} \quad \frac{a, b, c}{b} \quad \frac{a, b, c}{c}}{\frac{ac}{bc}} \\
 \frac{\quad}{ac + bc}
 \end{array}$$

$$\begin{array}{c}
 \frac{\frac{\text{id} \quad \text{id}}{\pi_1 \quad \pi_2} \quad \text{id}}{\langle \pi_1, \pi_2 \rangle; + \quad \pi_3} \\
 \frac{\quad}{\langle \langle \pi_1, \pi_2 \rangle; + \rangle, \pi_3; \cdot}
 \end{array}
 =
 \begin{array}{c}
 \frac{\frac{\text{id} \quad \text{id}}{\pi_1 \quad \pi_3} \quad \frac{\text{id} \quad \text{id}}{\pi_2 \quad \pi_3}}{\langle \pi_1, \pi_3 \rangle; \cdot \quad \langle \pi_2, \pi_3 \rangle; \cdot} \\
 \frac{\quad}{\langle \langle \pi_1, \pi_3 \rangle; \cdot \rangle, \langle \pi_2, \pi_3 \rangle; \cdot \rangle; +}
 \end{array}$$

As **Set** has finite products every $(\mathbb{R}, 0, 1, +, \cdot, -)$ -polynomial in n variables can be represented as a morphism $\mathbb{R}^n \rightarrow \mathbb{R}$; each of the ring axioms becomes the statement that two “ $(\mathbb{R}, 0, 1, +, \cdot, -)$ -polynomials” are equal.

A ring object: the tangent space

The tangent space of \mathbb{R} , $T\mathbb{R}$, has the same points as \mathbb{R}^2 , and a ring structure, with special definitions for ‘1’ and ‘.’.

We will denote its points as $(a, a_x), (b, b_x), \dots$

Here is its ring structure:

$$1 \begin{array}{c} \xrightarrow{0} \\ \xrightarrow{1} \end{array} T\mathbb{R} \begin{array}{c} \xleftarrow{+} \\ \xleftarrow{\cdot} \end{array} (T\mathbb{R})^2$$

$$\begin{array}{l} * \xrightarrow{\quad} (0, 0) \\ * \xrightarrow{\quad} (1, 0) \\ \begin{array}{l} (a + b, a_x + b_x) \xleftarrow{\quad} (a, a_x), (b, b_x) \\ (ab, a_x b + b_x a) \xleftarrow{\quad} (a, a_x), (b, b_x) \end{array} \end{array}$$

Another ring object: a ring of functions

For any set S the space of functions $S \rightarrow \mathbb{R}$ (a.k.a. “ \mathbb{R}^S ”) has a natural ring structure:

$$1 \begin{array}{c} \xrightarrow{0} \\ \xrightarrow{1} \end{array} (S \rightarrow \mathbb{R}) \begin{array}{c} \xleftarrow{+} \\ \xleftarrow{\cdot} \end{array} (S \rightarrow \mathbb{R})^2$$

$$\begin{array}{l} * \xrightarrow{\quad} (s \mapsto 0) \\ * \xrightarrow{\quad} (s \mapsto 1) \\ (s \mapsto a[s] + b[s]) \xleftarrow{\quad} (s \mapsto a[s], (s \mapsto b[s])) \\ (s \mapsto a[s]b[s]) \xleftarrow{\quad} (s \mapsto a[s], (s \mapsto b[s])) \end{array}$$

If $S \subseteq \mathbb{R}$ then some functions $S \rightarrow \mathbb{R}$ are “affine linear”, in the sense that they can be characterized by two reals — a “constant part” (a) and a “slope” (a_x).

Let’s write these functions as $s \mapsto a + a_x s$.

Then the set of affine linear functions in $S \rightarrow \mathbb{R}$ is almost closed by the ring operations — the only problem is the second-order term in the result of the multiplication (underlined below):

$$\begin{array}{l} * \xrightarrow{\quad} (s \mapsto 0) \\ * \xrightarrow{\quad} (s \mapsto 1) \\ (s \mapsto a + b + (a_x + b_x)s) \xleftarrow{\quad} (\dots), (\dots) \\ (s \mapsto ab + (a_x b + ab_x)s + \underline{a_x b_x s^2}) \xleftarrow{\quad} (\dots), (\dots) \end{array}$$

However, if $S \subseteq \{x \in \mathbb{R} \mid x^2 = 0\}$ then the second-order term disappears, and the set of affine linear functions

$$\text{AffLin}(S \rightarrow \mathbb{R}) := \{f : S \rightarrow \mathbb{R} \mid f \text{ is affine linear}\} \subseteq (S \rightarrow \mathbb{R})$$

is a subring of $S \rightarrow \mathbb{R}$, and, furthermore, there is a ring homeomorphism $\varphi : T\mathbb{R} \rightarrow (S \rightarrow \mathbb{R})\dots$

A homomorphism between ring objects

" $\varphi : T\mathbb{R} \rightarrow (S \rightarrow \mathbb{R})$ is a ring homomorphism" means that for each of the five operations, $0, 1, +, \cdot, -$, a certain square commutes...

$$\begin{array}{ccccc}
 1 & \xrightarrow[1]{0} & T\mathbb{R} & \xleftarrow[\cdot]{+} & T\mathbb{R} \times T\mathbb{R} \\
 \text{id} \downarrow & & \downarrow \varphi & & \downarrow \varphi \times \varphi \\
 1 & \xrightarrow[1]{0} & (S \rightarrow \mathbb{R}) & \xleftarrow[\cdot]{+} & (S \rightarrow \mathbb{R}) \times (S \rightarrow \mathbb{R})
 \end{array}$$

(We do not draw the ' \cdot ' arrows).

The less trivial case is the square for ' \cdot ':

$$\begin{array}{ccc}
 (ab, a_x b + ab_x) & \longleftarrow & (a, a_x), (b, b_x) \\
 \downarrow & & \downarrow \\
 (s \mapsto ab + (a_x b + ab_x)s) & & (s \mapsto a + a_x s), (s \mapsto b + b_x s) \\
 (s \mapsto ab + (a_x b + ab_x)s + a_x b_x s^2) & \longleftarrow &
 \end{array}$$

As we are supposing that $S \subseteq \{x \in \mathbb{R} \mid x^2 = 0\}$, the term $a_x b_x s^2$ is zero, and that square commutes.

In \mathbb{R} the set of square-zero elements, $\{x \in \mathbb{R} \mid x^2 = 0\}$, is too small for this to be interesting — *but the same constructions work for any ring R .*

Example: $R := \mathbb{R}[X, Y]/\langle X^2, Y^2 \rangle$ — the ring of polynomials on two variables, ' X ' and ' Y ', with coefficients on \mathbb{R} , divided by an ideal to force $X^2 = 0$ and $Y^2 = 0$.

Notational convention: $\epsilon^2 = 0$ and $\delta^2 = 0$.

Then, using ' ϵ ' and ' δ ' as variables, we can write just " $\mathbb{R}[\epsilon, \delta]$ " instead of " $\mathbb{R}[\epsilon, \delta]/\langle \epsilon^2, \delta^2 \rangle$ ".

Note that $(\epsilon + \delta)^2 = \epsilon^2 + 2\epsilon\delta + \delta^2 = 2\epsilon\delta \neq 0$ — so $\epsilon + \delta$ is not a square-zero element in $\mathbb{R}[\epsilon, \delta]$.

Ring objects of line type

Fact (a.k.a. “Main Theorem”, proved in the next slides):

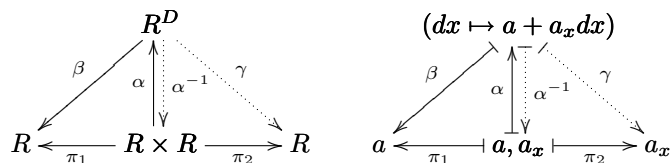
When the arrow α below is invertible we can use

the composite $\gamma := (\alpha^{-1}; \pi_2)$ to define, for any

$f : R \rightarrow R$, its derivative $f' : R \rightarrow R$,

and these derivatives behave as expected:

$$\begin{aligned} (kf)' &= kf' \\ (f+g)' &= f' + g', \\ (fg)' &= f'g + fg', \\ (f \circ g)' &= (f' \circ g)g'. \end{aligned}$$



The hypotheses are just these:

\mathbf{C} is a category with finite limits,

$(R, 0, 1, +, \cdot, -)$ is a ring object in \mathbf{C} ,

and $D := \{ dx \in R \mid dx^2 = 0 \}$

(that is definable as an equalizer)

is exponentiable.

(Stronger hypotheses, simpler to understand:

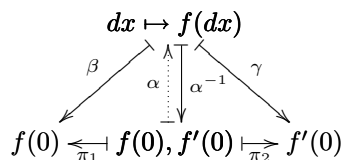
\mathbf{C} is cartesian closed and has pullbacks,

$(R, 0, 1, +, \cdot, -)$ is a ring object in \mathbf{C} .)

Then if the (definable) map $\alpha : R \times R \rightarrow R^D$ is

invertible, we have a notion of “derivative” for

functions $R \rightarrow R$, that behaves as expected.



A ring $(R, 0, 1, +, \cdot, -)$ for which

$\alpha : R \times R \rightarrow R^D$ is invertible

is said to be “of line type”.

Lemma: even when α^{-1} does not exist β is known...
More precisely: *define* β as “evaluate $dx \mapsto a + a_x dx$
at $dx := 0$ ”; then $(\alpha; \beta) = \pi_1$.
If α^{-1} exists then $(\alpha; \beta) = \pi_1$ iff $\beta = (\alpha^{-1}; \pi_1)$.