

# Category Theory as An Excuse to Learn Type Theory

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<http://angg.twu.net/math-b.html#2021-excuse-tt>

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## Rings

A ring  $R$  is a tuple  $(R, 0, 1, +, -, \cdot)$  in which:

$R$  is a set,

$0 \in R$ ,

$1 \in R$ ,

$+$  :  $R \times R \rightarrow R$ ,

$-$  :  $R \rightarrow R$ ,

$\cdot$  :  $R \times R \rightarrow R$

and this  $(R, 0, 1, +, -, \cdot)$  obeys several conditions.

The part  $(R, 0, 1, +, -, \cdot)$  is called **structure**,

and the conditions are called **properties**.

(For more on structure and properties see [FavC, section 7.5], [BS07, p.15]).

## Rings (2)

The symbols  $0, 1, +, -, \cdot$  in  $(R, 0, 1, +, -, \cdot)$  have standard conventions that let us infer their arities, types, and equations — what they have to obey to “deserve their names”.

Also, if  $f : R \rightarrow S$  is a morphism of rings then  $f$  is a function from the underlying set of  $R$  to the underlying set of  $S$  that obeys several equations — for example  $f(a + b) = f(a) + f(b)$ , that is actually

$$\forall a, b \in R. f(a +_R b) = f(a) +_S f(b) \dots$$

These things can be written in several levels of detail.

## Conventions in Category Theory

Category Theory is like that too, only much, much worse.

The notation changes a lot from one text to the other.

Most diagrams are left to the reader.

Some parts of the **structure** are left to the reader too...

For example (see [FavC, section 3]):

Fix a set  $A$ . Let  $(A \times)$  be **the** functor that takes each object  $B$  to  $A \times B$ .

This says that the **action on objects** of the functor  $(A \times)$  is  $(A \times)_0(B) = A \times B$ , and the “**the**” implies that the reader knows how to discover that the action on morphisms is:

$$(A \times)_1(B \xrightarrow{f} C) = A \times B \xrightarrow{\langle \pi, f \circ \pi' \rangle} A \times C.$$

## A weird idea: downcasing

This looked very natural to me when I was starting to learn CT and Haskell by myself... it is great as an **informal** tool.

Btw, the paper [IDARCT] is about how I tried to formalize this idea for years, failed miserably, and then found a way to use it for “skeletons of proofs”.

$$\begin{array}{ccc}
 b & B \longmapsto A \times B & (a, b) \\
 \downarrow & \begin{array}{ccc} f \downarrow & \longmapsto & \downarrow A \times f \\ C \longmapsto A \times C & & \downarrow \end{array} & \downarrow \\
 c & & (a, c)
 \end{array}
 \qquad
 \begin{array}{l}
 (a, b) \mapsto (a, c) \\
 \equiv (a, b) \mapsto (a, f(b)) \\
 \equiv (a, b) \mapsto (\pi(a, b), f(\pi'(a, b))) \\
 \equiv p \mapsto (\pi p, f(\pi' p)) \\
 \equiv p \mapsto (\pi(p), (f \circ \pi')(p)) \\
 \equiv p \mapsto \langle \pi, f \circ \pi' \rangle(p) \\
 \equiv \langle \pi, f \circ \pi' \rangle
 \end{array}$$

$\mathbf{Set} \xrightarrow{(A \times)} \mathbf{Set}$

## A weird idea: downcasing (2)

Downcasing and upcasing are like lowercasing and uppercasing, but for terms and types.

Downcasing a set gives us a name for **a** “typical element” of that set.

Downcasing a type gives us a name for a variable of that type.

$a$	$:$	$A$	(ok)
$a'$	$:$	$A'$	(ok)
$a'$	$:$	$A$	(ok when there's no $A'$ )
$b$	$:$	$B$	(ok)
$(a, b)$	$:$	$A \times B$	(ok?)
$b \mapsto c$	$:$	$B \rightarrow C$	(weird)
$(a, b) \mapsto (a, c)$	$:$	$A \times B \rightarrow A \times C$	(weird)

## Term inference is like proof search

Finding **the** meaning of  $A \times f$   
 means finding **a** natural construction  
 for  $? : A \times B \rightarrow A \times C$  from  $f : B \rightarrow C \dots$

“Natural constructions” are  $\lambda$ -terms.

This is a bit quirky. Details: [FavC, section 3].

Main diagrams:

$$\begin{array}{ccc}
 \frac{b \mapsto c}{(a, b) \mapsto (a, c)} & \rightsquigarrow & \frac{\frac{\frac{[(a, b)]^1}{a} \quad \frac{\frac{[(a, b)]^1}{b} \quad b \mapsto c}{c}}{(a, c)}}{(a, b) \mapsto (a, c)}^1 \\
 & & \rightsquigarrow \frac{\frac{\frac{[p]^1}{\pi p} \quad \frac{\frac{p}{\pi' p} \quad f}{f(\pi' p)}}{(\pi p, f(\pi' p))}}{\lambda p. (\pi p, f(\pi' p))}^1
 \end{array}$$

$$\begin{array}{c}
\frac{Q \rightarrow R}{\overline{P \wedge Q \rightarrow P \wedge R}} \\
\sim \frac{\frac{\frac{[P \wedge Q]^1}{P} \quad \frac{\frac{[P \wedge Q]^1}{Q} \quad Q \rightarrow R}{R}}{P \wedge R}}{P \wedge Q \rightarrow P \wedge R}^1
\end{array}$$
  

$$\begin{array}{c}
\frac{f : B \rightarrow C}{\overline{(\times A)_1 f : A \times C \rightarrow A \times C}} \\
\sim \frac{\frac{\frac{[p : A \times B]^1}{\pi p : A} \quad \frac{\frac{[p : A \times B]^1}{\pi' p : B} \quad f : B \rightarrow C}{f(\pi' p) : C}}{(\pi p, f(\pi' p)) : A \times C}}{(\lambda p : A \times B. (\pi p, f(\pi' p))) : A \times B \rightarrow A \times C}^1
\end{array}$$



$$\frac{\frac{\frac{[p : A \times B]^1}{\pi p : A} \quad \frac{\frac{[p : A \times B]^1}{\pi' p : B} \quad f : B \rightarrow C}{f(\pi' p) : C}}{(\pi p, f(\pi' p)) : A \times C}}{(\lambda p : A \times B. (\pi p, f(\pi' p))) : A \times B \rightarrow A \times C} 1$$

$$\frac{\frac{\frac{[ab : A \times B]^1}{a : A} \quad \frac{\frac{[ab : A \times B]^1}{b : B} \quad \text{btoc} : B \rightarrow C}{c : C}}{ac : A \times C}}{\text{abtoac} : A \times B \rightarrow A \times C} 1$$

```

a = fst ab
b = snd ab
c = btoc b
ac = (a,c)
abtoac = \ab -> ac
atimes = \btoc -> abtoac

```

```

-- Translation to Haskell:
-- (with help from ski @ #haskell)
atimes :: (b -> c) -> ((a,b) -> (a,c))
atimes btoc = abtoac where
  abtoac ab = ac where
    a = fst ab
    b = snd ab
    c = btoc b
    ac = (a,c)

```

Here's how [CWM, section III.1] defines universals:

**Definition.** If  $S : D \rightarrow C$  is a functor and  $c$  an object of  $C$ , a universal arrow from  $c$  to  $S$  is a pair  $\langle r, u \rangle$  consisting of an object  $r$  of  $D$  and an arrow  $u : c \rightarrow Sr$  of  $C$ , such that to every pair  $\langle d, f \rangle$  with  $d$  an object of  $D$  and  $f : c \rightarrow Sd$  an arrow of  $C$ , there is a unique arrow  $f' : r \rightarrow d$  of  $D$  with  $Sf' \circ u = f$ .

This is too hard for my tiny brain.

**Solution:** I need types, diagrams, a way to use other letters and fonts, and examples.

If  $C, D$  are categories,  
 $S : D \rightarrow C$  is a functor,  
 $c \in C$  is an object,  
 $r \in D$  is an object,  
 $u : C \rightarrow Sr$  is a morphism,  
 then  $\langle r, u \rangle$  is universal from  $c$  to  $S$   
 iff  $\forall d \in D,$   
 $\forall f : C \rightarrow Sd,$   
 $\exists ! f' : r \rightarrow d$  with  $Sf' \circ u = f$

$$\begin{array}{ccc}
 & c & \\
 & \downarrow \scriptstyle u & \\
 & (univ) & \\
 & \downarrow & \\
 r & \longrightarrow & Sr & \downarrow \scriptstyle \forall f \\
 \exists ! f' \downarrow & \longrightarrow & \downarrow Sf' & \\
 \forall d & \longrightarrow & Sd & \\
 D & \xrightarrow{R} & C & 
 \end{array}$$

If  $\mathbf{A}, \mathbf{B}$  are categories,  
 $R : \mathbf{A} \rightarrow \mathbf{B}$  is a functor,  
 $A \in \mathbf{A}$  is an object,  
 $B \in \mathbf{B}$  is an object,  
 $\eta : A \rightarrow RB$  is a morphism,  
 then  $(B, \eta)$  is universal from  $A$  to  $R$   
 iff  $\forall C \in \mathbf{B}$ ,  
 $\forall g : A \rightarrow RC$ ,  
 $\exists! f : B \rightarrow C$  with  $Rf \circ \eta = g$

$$\begin{array}{ccc}
 & A & \\
 & \downarrow \scriptstyle{\eta} & \\
 & (univ) & \\
 & \downarrow & \\
 B & \longrightarrow & RB \\
 \exists! f \downarrow & \longrightarrow & \downarrow Rf \\
 \forall C & \longrightarrow & RC \\
 & & \downarrow \\
 \mathbf{B} & \xrightarrow{R} & \mathbf{A}
 \end{array}$$

↑ Looks like  
 Type Theory

↑ Looks like  
 Freyd's notation

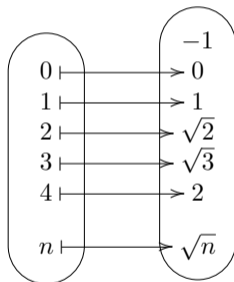
$$\begin{array}{ccc}
 & \mathbf{1} & \\
 & \downarrow & \\
 \mathbb{Z}[x] & \xrightarrow{\quad} & U\mathbb{Z}[x] \\
 \exists! f \downarrow & \xrightarrow{\quad} & \downarrow Rf \\
 \forall R & \xrightarrow{\quad} & UR \\
 & & \downarrow \\
 & & \forall \ulcorner a \urcorner \\
 & & \downarrow \\
 \mathbf{Rings} & \xrightarrow{U} & \mathbf{Set}
 \end{array}$$

- If  $\mathbf{Set}, \mathbf{Rings}$  are categories, (ok)  
 $U : \mathbf{Rings} \rightarrow \mathbf{Set}$  is a functor, (ok:  $U =$  underlying set)  
 $1 \in \mathbf{Set}$  is an object, (ok:  $1 = \{*\}$ )  
 $\mathbb{Z}[x] \in \mathbf{Rings}$  is an object, (ok)  
 $\ulcorner x \urcorner : 1 \rightarrow U\mathbb{Z}[x]$  is a morphism, (ok:  $\ulcorner x \urcorner(*) = x$ )  
 then  $(\mathbb{Z}[x], \ulcorner x \urcorner)$  is universal from  $A$  to  $R$   
 iff  $\forall R \in \mathbf{Rings}$ ,  
 $\forall \ulcorner a \urcorner : 1 \rightarrow UR$ ,  
 $\exists! f : \mathbb{Z}[x] \rightarrow R$  with  $Uf \circ \ulcorner x \urcorner = \ulcorner a \urcorner$  (ok:  $f(P(x)) = P(a)$ )

## Internal views

The internal view of a **function**:

$$\begin{aligned} \sqrt{\phantom{x}} : \mathbb{N} &\rightarrow \mathbb{R} \\ n &\mapsto \sqrt{n} \end{aligned}$$



$$\mathbb{N} \xrightarrow{\sqrt{\phantom{x}}} \mathbb{R}$$

## The internal view of a functor

Above usually means *inside*. (CAI)

The image of a diagram above  $\mathbf{A}$  by a functor  $F : \mathbf{A} \rightarrow \mathbf{B}$  is a diagram *with the same shape* above  $\mathbf{B}$ . (CFSH)

$$\begin{array}{ccc}
 A_1 & \xrightarrow{k} & A_3 \\
 f \downarrow & & \downarrow h \\
 A_2 & \xrightarrow{g} & A_3 \\
 & \searrow m & \\
 & & A_4
 \end{array}
 \qquad
 \begin{array}{ccc}
 FA_1 & \xrightarrow{Fk} & FA_3 \\
 Ff \downarrow & & \downarrow Fh \\
 FA_2 & \xrightarrow{Fg} & FA_3 \\
 & \searrow Fm & \\
 & & FA_4
 \end{array}$$

$$\mathbf{A} \xrightarrow{F} \mathbf{B}$$

In this case we don't draw the arrows like  $A_1 \mapsto FA_1$  because there would be too many of them — we leave them implicit.

## The internal view of a functor (2)

We say that the diagram in the previous slide is **an** internal view of the functor  $F$ . To draw **the** internal view of the functor  $F : \mathbf{A} \rightarrow \mathbf{B}$  we start with a diagram in  $\mathbf{A}$  that is made of two generic objects and a generic morphism between them. We get this:

$$\begin{array}{ccc}
 C & \mapsto & FC \\
 g \downarrow & \mapsto & \downarrow Fg \\
 D & \mapsto & FD \\
 \\ 
 \mathbf{A} & \xrightarrow{F} & \mathbf{B}
 \end{array}$$



### The internal view of a functor (3)

Any arrow of the form  $\alpha \mapsto \beta$  above a functor arrow  $\mathbf{A} \xrightarrow{F} \mathbf{B}$  is interpreted as saying that  $F$  takes  $\alpha$  to  $\beta$ , or, that  $F\alpha$  **reduces** to  $\beta$ . So this diagram

$$\begin{array}{ccc}
 B & \mapsto & A \times B \\
 f \downarrow & \mapsto & \downarrow \lambda p. (\pi p, f(\pi' p)) \\
 C & \mapsto & A \times C \\
 \mathbf{Set} & \xrightarrow{(A \times)} & \mathbf{Set}
 \end{array}$$

**defines**  $(A \times)$  as:

$$\begin{aligned}
 (A \times)_0 & := \lambda B. A \times B, \\
 (A \times)_1 & := \lambda f. \lambda p. (\pi p, f(\pi' p)).
 \end{aligned}$$

## The internal view of a functor (4)

Here the codomain of  $F$  is **Set**, and so we can also use the internal view of  $(A \times)f$  to define  $(A \times)_1 \dots$

$$\begin{array}{ccccc}
 B & \longmapsto & A \times B & (a, b) & p \\
 f \downarrow & \longmapsto & \downarrow (A \times)f & \downarrow & \downarrow \\
 C & \longmapsto & A \times C & (a, f(b)) & (\pi p, f(\pi' p)) \\
 \mathbf{Set} & \xrightarrow{(A \times)} & \mathbf{Set} & & 
 \end{array}$$

## How to draw the internal view of a NT

If  $F, G : \mathbf{A} \rightarrow \mathbf{B}$  are functors from  $\mathbf{A}$  to  $\mathbf{B}$ ,  
 $T : F \rightarrow G$  is a natural transformation from  $F$  to  $G$ , and  
 $h : C \rightarrow D$  is a morphism in  $\mathbf{A}$ ,  
then  $Th$  is a commutative square in  $\mathbf{B}$ .

How do we visualise this?

How do we visualise this with all details?

$$\left( \begin{array}{c} \mathbf{A} \\ \curvearrowright \\ \mathbf{B} \\ \text{\scriptsize } F \end{array} \begin{array}{c} \text{\scriptsize } G \\ \text{\scriptsize } \cong \\ \text{\scriptsize } T \end{array} \right) \left( \begin{array}{c} C \\ h \downarrow \\ D \\ \mathbf{A} \end{array} \right) = \left( \begin{array}{c} \begin{array}{ccc} C & & \\ \downarrow h & \searrow & \searrow \\ D & FC & GC \\ \downarrow Fh & \downarrow TC & \downarrow Gh \\ D & FD & GD \end{array} \\ \\ \begin{array}{c} \mathbf{A} \\ \curvearrowright \\ \mathbf{B} \\ \text{\scriptsize } F \end{array} \begin{array}{c} \text{\scriptsize } G \\ \text{\scriptsize } \cong \\ \text{\scriptsize } T \end{array} \end{array} \right)$$

$$\begin{array}{ccccc} C & & FC & \xrightarrow{TC} & GC \\ h \downarrow & & \downarrow Fh & & \downarrow Fg \\ D & & FD & \xrightarrow{TD} & GD \end{array}$$

$$\mathbf{A} \xrightarrow{T} \mathbf{B}$$

## Trailer: Yoneda in a particular case

(With a representable functor... [FavC, section 7.7])

$$\begin{array}{ccc}
 & & \mathbf{1} \\
 & & \downarrow \begin{array}{l} \lceil x \rceil \\ \text{(univ)} \end{array} \\
 \mathbb{Z}[x] \vdash & \longrightarrow & U(\mathbb{Z}[x]) \\
 \phi \downarrow & \longmapsto & \downarrow U\phi \\
 R \vdash & \longrightarrow & UR \\
 \\ 
 \text{Rings} & \xrightarrow{U} & \text{Set} \\
 \\ 
 \text{Rings}(\mathbb{Z}[x], -) & \xleftarrow{T} & \text{Set}(1, U-) \\
 & \nwarrow T' & \updownarrow \\
 & & U
 \end{array}$$

**Trailer: (right) Kan Extensions**  
 (From [FavC, section 7.9])

$$\begin{array}{ccc}
 GF & \longleftarrow \lrcorner \forall G & \\
 \downarrow GU & \longleftarrow \lrcorner & \downarrow \exists! U \\
 RF & \longleftarrow \lrcorner R := \text{Ran}_F H & \\
 \downarrow T & & \\
 H & & 
 \end{array}$$

$\forall V \downarrow$

$$\begin{array}{ccc}
 \mathbf{C}^{\mathbf{A}} & \xleftarrow{\circ F} & \mathbf{C}^{\mathbf{B}} \\
 & \xrightarrow{\text{Ran}_F} & \\
 \mathbf{A} & \xrightarrow{F} & \mathbf{B}
 \end{array}$$

# Introduction to Type Theory



## A bit of untyped $\lambda$ -calculus

If  $h = (\lambda a. a \cdot a + 4)$  then...

$$\begin{array}{c}
 h(2+3) \longrightarrow h(5) \\
 \downarrow \qquad \qquad \qquad \downarrow \\
 (\lambda a. a \cdot a + 4)(2+3) \longrightarrow (\lambda a. a \cdot a + 4)(5) \\
 \downarrow \qquad \qquad \qquad \downarrow \\
 (a \cdot a + 4)[a := 2+3] \longrightarrow (a \cdot a + 4)[a := 5] \\
 \downarrow \qquad \qquad \qquad \downarrow \\
 (2+3) \cdot (2+3) + 4 \qquad \qquad \qquad (2+3) \cdot 5 + 4 \\
 \downarrow \qquad \searrow \qquad \qquad \downarrow \\
 5 \cdot (2+3) + 4 \qquad \qquad \qquad 5 \cdot 5 + 4 \longrightarrow 25 + 4 \longrightarrow 29
 \end{array}$$

## Types after Discrete Mathematics

If:

$$A = \{1, 2\},$$

$$B = \{3, 4\},$$

$$C = \{30, 40\},$$

$$D = \{10, 20\},$$

Then:

$$A \times B = \left\{ (1, 3), (1, 4), \right. \\ \left. (2, 3), (2, 4) \right\}$$

$$B \rightarrow C = \left\{ \left\{ \begin{matrix} (3,30), \\ (4,30) \end{matrix} \right\}, \left\{ \begin{matrix} (3,30), \\ (4,40) \end{matrix} \right\}, \left\{ \begin{matrix} (3,40), \\ (4,30) \end{matrix} \right\}, \left\{ \begin{matrix} (3,40), \\ (4,40) \end{matrix} \right\} \right\}$$

If we know (the values of)  $a, b, f$   
 then we know (the value of)  $(a, f(b))$ .

If  $(a, b) = (2, 3)$  and  $f = \left\{ \begin{array}{l} (3,30), \\ (4,40) \end{array} \right\}$   
 then  $(a, f(b)) = (2, 30)$ .

In trees:

$$\frac{\frac{(a, b)}{a} \pi \quad \frac{\frac{(a, b)}{b} \pi' \quad f}{f(b)} \text{ app}}{(a, f(b))} \text{ pair} \qquad \frac{\frac{(2, 3)}{2} \pi \quad \frac{\frac{(2, 3)}{3} \pi' \quad \left\{ \begin{array}{l} (3,30), \\ (4,40) \end{array} \right\}}{30} \text{ app}}{(2, 30)} \text{ pair}$$

If we know the types of  $a, b, f$   
 we know the type of  $(a, f(b))$ .

If we know the types of  $p, f$   
 we know the type of  $(\pi p, f(\pi' p))$ .

If we know the types of  $p, f$   
 we know the type of  $(\lambda p : A \times B.(\pi p, f(\pi' p)))$ .

$$\frac{\frac{(a, b) : A \times B}{a : A} \pi \quad \frac{\frac{(a, b) : A \times B}{b : B} \pi' \quad f : B \rightarrow C}{f(b) : C} \text{app}}{(a, f(b)) : A \times C} \text{pair}$$

$$\frac{\frac{\frac{p : A \times B}{\pi p : A} \pi \quad \frac{\frac{p : A \times B}{\pi' p : B} \pi' \quad f : B \rightarrow C}{f(\pi' p) : C} \text{app}}{(\pi p, f(\pi' p)) : A \times C} \text{pair}}{(\lambda p : A \times B.(\pi p, f(\pi' p))) : A \times B \rightarrow A \times C} \lambda$$

Compare:

$$\frac{\frac{\frac{p : A \times B}{\pi p : A} \quad \pi \quad \frac{\frac{p : A \times B}{\pi' p : B} \quad \pi' \quad f : B \rightarrow C}{f(\pi' p) : C} \text{ app}}{(\pi p, f(\pi' p)) : A \times C} \text{ pair}}{(\lambda p : A \times B. (\pi p, f(\pi' p))) : A \times B \rightarrow A \times C} \lambda$$

$$\underbrace{\lambda \underbrace{p}_{:A \times B} : A \times B. \left( \underbrace{\underbrace{\pi}_{:A} \underbrace{p}_{:A \times B}}_{:A \times B}, \underbrace{\underbrace{f}_{:B \rightarrow C} \underbrace{(\underbrace{\pi'}_{:A \times B} \underbrace{p}_{:A \times B})}_{:B}}_{:C} \right)}_{:A \times B \rightarrow A \times C}$$

## Typing

Idea: start with lots of type variables,  
look at the constraints induced by the underbraces,  
try to find a substitution that works...

$$\lambda \underbrace{p}_{:A} . (\underbrace{\underbrace{\pi}_{:A} \underbrace{p}_{:C}}_{:A}, \underbrace{f}_{:B} (\underbrace{\underbrace{\pi'}_{:A} \underbrace{p}_{:D}}_{:E})) )$$

$$\underbrace{\hspace{10em}}_{:G}$$

$$\begin{aligned}
 (C \Rightarrow) \quad & A = C \times \_ \\
 (D \Rightarrow) \quad & A = \_ \times D \\
 (E \Rightarrow) \quad & B = D \rightarrow E \\
 (F \Rightarrow) \quad & F = C \times E \\
 (G \Rightarrow) \quad & G = A \rightarrow F
 \end{aligned}$$

First step:  $[A := C \times D]$

In the previous slide all the constraints were red, meaning “not satisfied yet”.  
Let's mark the ones that are satisfied in green and perform more substitutions if needed...

$$\lambda \underbrace{p}_{:C \times D} . (\underbrace{\underbrace{\pi \underbrace{p}_{:C \times D}}_{:C}, \underbrace{f (\underbrace{\pi' \underbrace{p}_{:C \times D}}_{:D})}_{:E}}_{:F})$$

$$\begin{array}{ll}
 (C \Rightarrow) & C \times D = C \times \_ \\
 (D \Rightarrow) & C \times D = \_ \times D \\
 (E \Rightarrow) & B = D \rightarrow E \\
 (F \Rightarrow) & F = C \times E \\
 (G \Rightarrow) & G = C \times D \rightarrow F
 \end{array}$$

Next step:  $\begin{bmatrix} B := D \rightarrow E \\ F := C \times E \end{bmatrix}$

Let's  
do  
it  
again...

$$\lambda \underbrace{p}_{:C \times D} \cdot (\underbrace{\underbrace{\pi}_{:C} \underbrace{p}_{:C \times D}}_{:C}, \underbrace{f}_{:D \rightarrow E} (\underbrace{\underbrace{\pi'}_{:D} \underbrace{p}_{:C \times D}}_{:D}))$$

$$\underbrace{\hspace{10em}}_{:E}$$

$$\underbrace{\hspace{10em}}_{:C \times E}$$

$$\underbrace{\hspace{10em}}_{:G}$$

$$\begin{aligned} (C \Rightarrow) \quad & C \times D = C \times \_ \\ (D \Rightarrow) \quad & C \times D = \_ \times D \\ (E \Rightarrow) \quad & D \rightarrow E = D \rightarrow E \\ (F \Rightarrow) \quad & C \times E = C \times E \\ (G \Rightarrow) \quad & G = C \times D \rightarrow C \times E \end{aligned}$$

Next step:  $[G := C \times D \rightarrow C \times E]$



Done! Note that:

- 1)  $C, D, E$  are *type variables*,
- 2) this typing is *universal* — all other valid typings are substitution instances of this one. For example...  
(see the next page)

Exercise:

Compare our naïve approach here with the ones in [Pie02, chapter 22].

$$\left( \lambda \underbrace{p}_{:C \times D} . \left( \underbrace{\underbrace{\pi p}_{:C \times D}}_{:C}, \underbrace{f \left( \underbrace{\pi' p}_{:C \times D} \right)}_{:D \rightarrow E} \right) \right) \left[ \begin{array}{l} C := A \\ D := B \\ E := C \end{array} \right] =$$

$$\left( \lambda \underbrace{p}_{:A \times B} . \left( \underbrace{\underbrace{\pi p}_{:A \times B}}_{:A}, \underbrace{f \left( \underbrace{\pi' p}_{:A \times B} \right)}_{:B \rightarrow C} \right) \right) \left[ \begin{array}{l} A := B \\ B := C \\ C := A \end{array} \right]$$

## Very very concrete types

$$\left( \lambda \underbrace{p}_{:A \times B} : A \times B. (\underbrace{\pi p}_{:A \times B}, \underbrace{f(\pi' p)}_{:B \rightarrow C}) \right) \left[ \begin{array}{l} A := \{0, 1\} \\ B := \{2, 3\} \\ C := \{4, 5\} \end{array} \right] =$$

$$\left( \lambda \underbrace{p}_{:\{0,1\} \times \{2,3\}} : \{0, 1\} \times \{2, 3\}. (\underbrace{\pi p}_{:\{0,1\} \times \{2,3\}}, \underbrace{f(\pi' p)}_{:\{2,3\} \rightarrow \{4,5\}}) \right) \left[ \begin{array}{l} A := \{0, 1\} \\ B := \{2, 3\} \\ C := \{4, 5\} \end{array} \right] =$$

**Introduction  
to the Hard Part  
of Basic Type Theory**

Girard:

[GLT89] and [Gir10]

Benjamin Pierce's book, Haskell:

[Pie02], [Zav20], [Hut16]

HOTT, Kamareddine:

[HOTT], [KLN04]

Also:

[Som00], [BHL20]

Some of them don't even mention  $\Sigma$ -types...

" $\Sigma$ -types can be constructed from  $\Pi$ -types"

This is not trivial at all! =(

## Contexts

$$\underbrace{\underbrace{a \in \{1, 2\}}_{\text{gen}}, \underbrace{b \in \{2, 3\}}_{\text{gen}}, \underbrace{a < b}_{\text{filt}}; \underbrace{10a + b}_{\text{expr}}}_{\text{context}}$$

here the context has “generators” (“ $\text{var} \in \text{set}$ ”) and “filters” (“*this expression must be true*”).

In

$$\underbrace{\underbrace{A:\Theta}_{v:T}, \underbrace{B:\Theta}_{v:T}, \underbrace{C:\Theta}_{v:T}, \underbrace{p : A \times B}_{v:T}, \underbrace{g : B \rightarrow C}_{v:T}}_{\text{context}} \vdash \underbrace{(\pi p, g(\pi' p))}_{\text{term}} : \underbrace{A \times C}_{\text{type}}$$

the context is made of several declarations of the form “variable : type”...

## Derivations in Pure Type Systems

Derivations in a PTS have *lots* of bureaucracy.

This is what we need to derive  $A:\Theta, B:\Theta \vdash (\Pi a:A.B):\Theta$ :

$$\frac{\frac{\frac{\frac{\overline{\Gamma \Theta:\square}^a \quad \overline{\Gamma \Theta:\square}^a}{A:\Theta \vdash \Theta:\square} w_{\square}}{\overline{A:\Theta \vdash A:\Theta}} v_{\square}}{\overline{A:\Theta, B:\Theta \vdash A:\Theta}} w_{\square}}{\overline{A:\Theta, B:\Theta \vdash (\Pi a:A.B):\Theta}} \Pi_{\Theta\Theta\Theta}}$$

i.e.:

$$\frac{\overline{\overline{A:\Theta, B:\Theta \vdash A:\Theta}} \quad \frac{\frac{\overline{\overline{A:\Theta \vdash \Theta:\square}} \quad \frac{\overline{\Gamma \Theta:\square}^a}{A:\Theta \vdash A:\Theta} v_{\square}}{\overline{A:\Theta, B:\Theta \vdash A:\Theta}} w_{\square}}{\overline{A:\Theta, B:\Theta \vdash B:\Theta}} v_{\square}}{\overline{A:\Theta, B:\Theta, a:A \vdash B:\Theta}} w_{\Theta}} \Pi_{\Theta\Theta\Theta}$$

## Pure Type Systems: a derivation (2)

This is what we need to derive  $A:\Theta \vdash (\lambda a:A.a):(\Pi a:A.A)$ :

$$\frac{\frac{\frac{\overline{\overline{A:\Theta \vdash A:\Theta}}}{A:\Theta, a:A \vdash a:A} v_{\Theta}}{\frac{\frac{\overline{\overline{A:\Theta \vdash A:\Theta}}}{A:\Theta \vdash A:\Theta} \quad \frac{\frac{\overline{\overline{A:\Theta \vdash A:\Theta}} \quad \frac{\overline{\overline{\vdash \Theta:\square}}^a}{A:\Theta \vdash A:\Theta} v_{\square}}{A:\Theta, a:A \vdash A:\Theta} w_{\Theta}}{A:\Theta \vdash (\Pi a:A.A):\Theta} \Pi_{\Theta\Theta\Theta}}}{A:\Theta \vdash (\lambda a:A.a):(\Pi a:A.A)} \lambda}$$

Exercise:

Read the presentation of PTSs in [KLN04, section 4c].

Translate these trees to the notation of the book.

You will need a few tiny changes.



## Judgments

If we think — **informally** — that  $\Theta$  is the “set of all sets” (mnemonic: Theta for “Theths”), then we can put these judgments for  $\lambda$ -calculus in a very homogeneous form...

$$\underbrace{
 \underbrace{A:\Theta}_{v:T}, \underbrace{B:\Theta}_{v:T}, \underbrace{C:\Theta}_{v:T}, \underbrace{p : A \times B}_{v:T}, \underbrace{g : B \rightarrow C}_{v:T}
 }_{\text{context}}
 \vdash
 \underbrace{
 \underbrace{(\pi p, g(\pi' p))}_{\text{term}} : \underbrace{A \times C}_{\text{type}}
 }_{\text{conclusion}}$$

the context is a series of declarations of the form “var : type”, and the conclusion is of the form “term : type”.

## Dependent types for children

$$\begin{aligned} A &= \{0, 1\}, \\ B &= \{2, 3\}, \\ C_0 &= \{4, 5\}, \\ C_1 &= \{6, 7\} \end{aligned}$$

Function types and  
dependent function types ( $\Pi$ -types):

$$A \rightarrow B = \left\{ \left\{ \begin{pmatrix} 0,2 \\ 1,2 \end{pmatrix} \right\}, \left\{ \begin{pmatrix} 0,2 \\ 1,3 \end{pmatrix} \right\}, \left\{ \begin{pmatrix} 0,3 \\ 1,2 \end{pmatrix} \right\}, \left\{ \begin{pmatrix} 0,4 \\ 1,4 \end{pmatrix} \right\} \right\}$$

$$\begin{aligned} f &: A \rightarrow B \\ f(a) &\in B \end{aligned}$$

$$(a : A) \rightarrow C_a =$$

$$\Pi a : A. C_a = \left\{ \left\{ \begin{pmatrix} 0,4 \\ 1,6 \end{pmatrix} \right\}, \left\{ \begin{pmatrix} 0,4 \\ 1,7 \end{pmatrix} \right\}, \left\{ \begin{pmatrix} 0,5 \\ 1,6 \end{pmatrix} \right\}, \left\{ \begin{pmatrix} 0,5 \\ 1,7 \end{pmatrix} \right\} \right\}$$

$$\begin{aligned} g &: (a:A) \rightarrow C_a \\ g(a) &\in C_a \end{aligned}$$

Product types and  
dependent pair types ( $\Sigma$ -types):

$$\begin{aligned} p &\in A \times B \\ \pi' p &\in B \end{aligned}$$

$$A \times B = \{(0, 2), (0, 3), (1, 2), (1, 3)\}$$

$$\begin{aligned} q &\in (a:A) \times C_a \\ \pi' q &\in C_{\pi q} \end{aligned}$$

$$(a : A) \times C_a =$$

$$\Sigma a : A. C_a = \{(0, 4), (0, 5), (1, 6), (1, 7)\}$$

Note: “ $(a : A) \rightarrow C_a$ ” and “ $(a : A) \times C_a$ ” are Agda-isms.

Many places use the terms “dependent products” and “dependent sums”.

## Typing proofs of “and” and “implies”

$\langle\langle Q \rangle\rangle$  is a proof of  $Q$ .

$\llbracket Q \rrbracket$  is the set of all proofs of  $Q$ .

$\llbracket Q \wedge R \rrbracket$  is the set of all proofs of  $Q \wedge R$ .

**A**  $\langle\langle Q \wedge R \rangle\rangle$  is a proof of  $Q \wedge R$ .

**A**  $\langle\langle Q \wedge R \rangle\rangle$  is a pair  $(\langle\langle Q \rangle\rangle, \langle\langle R \rangle\rangle)$ ,

so  $\llbracket Q \wedge R \rrbracket = \llbracket Q \rrbracket \times \llbracket R \rrbracket$ .

$\llbracket Q \rightarrow R \rrbracket$  is the set of all proofs of  $Q \rightarrow R$ .

**A**  $\langle\langle Q \rightarrow R \rangle\rangle$  is a proof of  $Q \rightarrow R$ .

**A**  $\langle\langle Q \rightarrow R \rangle\rangle$  is an operation that takes  $\langle\langle Q \rangle\rangle$ s to  $\langle\langle R \rangle\rangle$ s,

so  $\llbracket Q \rightarrow R \rrbracket = \llbracket Q \rrbracket \rightarrow \llbracket R \rrbracket$ .

## Typing proofs of “for all” and “exists”

$$\underbrace{\langle\langle\forall b:B.P(b)\rangle\rangle}_{:\llbracket\forall b:B.P(b)\rrbracket} = \underbrace{\left\{ \begin{array}{l} (2, \langle\langle P(2)\rangle\rangle), \\ (3, \langle\langle P(3)\rangle\rangle) \end{array} \right\}}_{:\Pi b:B.\llbracket P(b)\rrbracket}$$

$$\underbrace{\langle\langle\exists b:B.P(b)\rangle\rangle}_{:\llbracket\exists b:B.P(b)\rrbracket} = \underbrace{(b, \langle\langle P(b)\rangle\rangle)}_{:\Sigma b:B.\llbracket P(b)\rrbracket}$$

## CWM: Creation of Limits, theorem V.1

$$\begin{array}{ccc}
 \begin{array}{c}
 \Delta * \longleftarrow | * \\
 \Delta g \downarrow \longleftarrow | \downarrow \exists! g \\
 \Delta L \longleftarrow | L \\
 \downarrow (\text{univ})^\nu \\
 F
 \end{array}
 &
 \begin{array}{l}
 := \text{Cone}(*, F) \\
 = \text{Nat}(\Delta *, F) \\
 = \text{Hom}(\Delta *, F)
 \end{array}
 &
 \begin{array}{c}
 \Delta X \longleftarrow | X \\
 \Delta h \downarrow \longleftarrow | \downarrow \exists! h \\
 \Delta L \longleftarrow | L \\
 \downarrow (\text{univ})^\nu \\
 F
 \end{array}
 \\
 \forall \sigma \downarrow & & \forall \tau \downarrow \\
 \text{Set}^J \xleftarrow[\text{Lim}]{\Delta} \text{Set} & & \text{Set}^J \xleftarrow[\text{Lim}]{\Delta} \text{Set}
 \end{array}$$

## Guessing $\nu$ : first attempt

$$\begin{array}{ccc}
 \begin{array}{ccc}
 \Delta 1 & \longleftarrow & 1 \\
 \Delta g \downarrow & \longleftarrow & \downarrow \exists! g \\
 \Delta \text{Lim } F & \longleftarrow & \text{Lim } F \\
 \downarrow \nu & & \\
 F & & 
 \end{array} & \xrightarrow{\forall \sigma} & 
 \begin{array}{ccc}
 \left( \begin{array}{c} 1 \\ \downarrow \\ 1 \end{array} \right) & \longleftarrow & 1 \\
 \Delta g \downarrow & \longleftarrow & \downarrow \exists! g \\
 \left( \begin{array}{c} A \times_C B \\ \downarrow \\ A \times_C B \end{array} \right) & \longleftarrow & A \times_C B \\
 \downarrow \nu & & \\
 \left( \begin{array}{c} B \\ \downarrow \\ A \rightarrow C \end{array} \right) & & 
 \end{array} \\
 \downarrow \text{(univ)} & & \downarrow \text{(univ)} \\
 \begin{array}{ccc}
 \text{Set}^J & \xrightleftharpoons[\text{Lim}]{\Delta} & \text{Set}
 \end{array} & & 
 \begin{array}{ccc}
 \text{Set}^{\left( \begin{array}{c} 1 \\ \downarrow \\ 1 \end{array} \right)} & \xrightleftharpoons[\text{Lim}]{\Delta} & \text{Set}
 \end{array}
 \end{array}$$

In this first attempt the types don't match...

A natural transformation

$$\sigma : \left( \begin{array}{c} 1 \\ \downarrow \\ 1 \end{array} \right) \rightarrow \left( \begin{array}{c} B \\ \downarrow \\ C \end{array} \right)$$

is a triple  $(\lceil a \rceil : 1 \rightarrow A, \lceil b \rceil : 1 \rightarrow B, \lceil c \rceil : 1 \rightarrow C)$ ,  
but an element of  $A \times_C B$  is a triple  $(a, b, c)$ ...

(I'm omitting the “obeying certain properties”!)  
(Why can I do that? Long story...)

## Guessing $\nu$ : second attempt

...so let's try to guess  $\nu$ ,  $g$ ,  $h$  by finding their types, then finding natural constructions that yield terms of those types, and then checking if our guesses behave as expected...



$$\begin{array}{ccc}
 1 & \xleftarrow{\quad} & \Delta 1 \\
 \ell J \downarrow & \searrow \forall \ell & \Delta g \downarrow \\
 FJ & & \Delta L \\
 & & \leftarrow \quad \downarrow \exists! g \\
 & & L = \text{Hom}(\Delta 1, F) \\
 & & \downarrow \nu := \lambda J. \lambda \ell. \ell J * \\
 & & F
 \end{array}$$

$\text{Set} \quad \text{Set}^{\mathbf{J}} \xrightleftharpoons[\text{Lim}]{\Delta} \text{Set}$

$$\begin{array}{ccc}
 X & \xleftarrow{\quad} & \Delta X \\
 TJ \downarrow & \searrow \forall T & \Delta h \downarrow \\
 FJ & & \Delta L \\
 & & \leftarrow \quad \downarrow \exists! h \\
 & & L = \text{Hom}(\Delta 1, F) \\
 & & \downarrow \nu := \lambda J. \lambda \ell. \ell J * \\
 & & F \\
 & & \text{(univ)}
 \end{array}$$

$\text{Set} \quad \text{Set}^{\mathbf{J}} \xrightleftharpoons[\text{Lim}]{\Delta} \text{Set}$

$$\begin{array}{ll}
 \ell & : \Delta 1 \rightarrow F \\
 \ell J & : \Delta 1 J \rightarrow FJ \\
 \ell J & : 1 \rightarrow FJ \\
 \ell J * & \in FJ \\
 \nu & : \Delta L \rightarrow F \\
 \nu J & : \Delta L J \rightarrow FJ \\
 \nu J & : L \rightarrow FJ \\
 \nu J \ell & \in FJ \\
 g & : 1 \rightarrow L \\
 g * & \in L
 \end{array}
 \quad
 \begin{array}{ll}
 T & : \Delta X \rightarrow F \\
 TJ & : \Delta X J \rightarrow FJ \\
 TJ & : X \rightarrow FJ \\
 TJx & \in FJ \\
 h & : X \rightarrow L \\
 hx & \in L \\
 hx & : \Delta 1 \rightarrow F \\
 hxJ & : \Delta 1 J \rightarrow FJ \\
 hxJ & : 1 \rightarrow FJ \\
 hxJ * & \in FJ
 \end{array}$$

Let's try this:

$$\begin{array}{ll}
 \nu J \ell & = \ell J * \quad \Rightarrow \\
 \nu J & = \lambda \ell. \ell J * \quad \Rightarrow \\
 \nu & := \lambda J. \lambda \ell. \ell J * \\
 g * & = \ell \quad \Rightarrow \\
 g & := \lambda * . \ell \\
 hxJ * & = TJx \quad \Rightarrow \\
 hxJ & = \lambda * . TJx \quad \Rightarrow \\
 hx & = \lambda J. \lambda * . TJx \quad \Rightarrow \\
 h & := \lambda x. \lambda J. \lambda * . TJx
 \end{array}$$

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